



ICS



INTERTRADE CUSTODY SYSTEM (ICS)

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Excel
TECHNOLOGY



InterTrade Custody System (ICS)

Excel InterTrade Custody System (ICS) is a SWIFT-enabled global custody system for handling client settlement instruction of different products, safekeeping of client asset and follow up on all corporate action related activities for different markets and currencies.

Instruction Capture: ICS provides different ways to capture settlement instructions: user input, SWIFT messages, or instruction file upload.

Straight-through Processing: ICS accepts SWIFT messages for straight-through processing of settlement instruction, corporate action and portfolio information between clearing agent, sub-custodian agent and client for fast, secured communication and process automation. ICS can also interface with the custodian bank's core banking system for funding requirement such as cash projection and cash settlement.

CCASS Interface: ICS provides file interfaces to CCASS for support of Statement of Account Interfaces (SOA), Settlement Instruction (SI) / Individual Settlement Instruction (ISI) / Account Transfer Instruction (ATI) upload, and corporate announcement / confirmation upload.

Risk Management: ICS has risk management control to eliminate operational risk and client asset protection, e.g. pre-settlement risk monitoring to ensure client has sufficient cash available for settling receipt against payment instruction or sufficient stock for fulfilling the deliver instruction. Timely reporting and notification to client on exception are provided. Periodic reconciliation on cash and securities kept by sub-custodian agent can be made.

Corporate Actions: ICS supports various corporate events such as dividend, shares split / consolidation, rights subscription, warrants exercise, polling, and etc. It can handle complicated events such as multiple options and entitlements. Different types of corporate event advices can be generated.

Billing: Flexible billing module is available in ICS for user to configure the charging details of individual client. It covers safekeeping fee, settlement instruction fee, scrip fee and account maintenance fee.



Technical

ICS employs the latest software development approach of multiple-tier architecture. It supports open platform (Unix, Linux, MS Windows) and relational database (Oracle, MySQL). ICS is a scalable, high-availability and stable platform providing comprehensive custody support to the clients.